

Audit and Governance Committee Work Programme

Forward Plan

Chairman: Cllr Trevor Jones
Vice Chairman: Cllr Kate Wheller

Agreed Items (yet to be scoped and/or scheduled)

All items that have been agreed for coverage by the Committee have been scheduled in the Forward Plan accordingly.

Date of Meeting		Item	Purpose / Key Lines of Enquiry (KLOE)	Lead Member/Officer
26 January 2017 (10.00am)	1	<u>Budget Monitoring Quarterly Report</u>	To consider and comment upon the budget monitoring information including actions taken to address any overspend.	Jim McManus Chief Accountant
	2	<u>Internal Audit Quarterly Report</u>	To receive a report on SWAP's independent work and assess the Council's risk, governance and control framework.	Rupert Bamberger Assistant Director South West Audit Partnership (SWAP)
	3	<u>Treasury Management Update</u>	To consider the update on treasury management.	Tom Wilkinson Group Finance Manager
	4	<u>Performance Monitoring Report</u>	To consider and comment upon the performance monitoring report for the quarter and agree any future actions with regard to the performance issues raised.	John Alexander Policy and Performance Manager
	5	<u>External Funding Monitoring Report 2015/16</u>	An annual report that provides measures of bidding performance and highlights areas of interest in relation to external funding.	Chris Scally
	6	<u>Constitutional Changes</u> (if required)	To consider any changes to the Constitution which have arisen that will need to be considered by the County Council.	Lee Gallagher Democratic Services Manager
13 March 2017 (10.00am)	1	<u>Budget Monitoring Quarterly Report</u>	To consider and comment upon the budget monitoring information including actions taken to address any overspend.	Jim McManus Chief Accountant

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	2	<u>Internal Audit Quarterly Report</u>	To receive a report on SWAP's independent work and assess the Council's risk, governance and control framework.	Rupert Bamberger Assistant Director South West Audit Partnership (SWAP)
	3	<u>Performance Monitoring Report</u>	To consider and comment upon the performance monitoring report for the quarter and agree any future actions with regard to the performance issues raised.	John Alexander Policy and Performance Manager
	4	<u>Annual Audit Letter</u>		John Oldroyd Senior Manager, Audit KPMG
	5	<u>External Audit Plan 2016/17</u>	To consider the External Audit Plan for 2015/16.	John Oldroyd Senior Manager, Audit KPMG
	6	<u>Constitutional Changes</u> (if required)	To consider any changes to the Constitution which have arisen that will need to be considered by the County Council.	Lee Gallagher Democratic Services Manager
19 June 2017 (10.00am)	1	<u>Annual Internal Audit Report 2016/17</u>	To receive the annual report of internal audit activity and to provide an independent opinion on the Council's governance, risk and control framework for 2015/16.	Rupert Bamberger Assistant Director South West Audit Partnership (SWAP)
	2	<u>Internal Audit Plan 2017/18</u>	To consider the Internal Audit Plan for 2016/17.	Rupert Bamberger Assistant Director South West Audit Partnership (SWAP)
	3	<u>Draft Annual Governance Statement 2016/17</u>	To consider the Annual Governance Statement which sets out key features of the governance framework in place in the Authority and provides a review of its	Mark Taylor Group Manager (Governance and Assurance)

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	4	<u>Draft 2016/17 Budget Outturn and Financial Management Report</u>	To provide an update on the budget for 2016/17 and the Council's overall budget position.	Jim McManus Chief Accountant
	5	<u>Performance Monitoring Report</u>	To consider and comment upon the performance monitoring report for the quarter and agree any future actions with regard to the performance issues raised.	John Alexander Policy and Performance Manager
	6	<u>Treasury Management Update</u>	To consider the update on treasury management.	Tom Wilkinson Group Finance Manager
	7	<u>Constitutional Changes</u> (if required)	To consider any changes to the Constitution which have arisen that will need to be considered by the County Council.	Lee Gallagher Democratic Services Manager
20 September 2017 (10.00am)	1	<u>Statement of Accounts 2015/16</u>	To consider the Statement of Accounts for 2015/16 that has been reviewed by the Authority's external auditor, KPMG.	Jim McManus Chief Accountant
	2	<u>Budget Monitoring Quarterly Report</u>	To consider and comment upon the budget monitoring information including actions taken to address any overspend.	Jim McManus Chief Accountant
	3	<u>Treasury Management and Prudential Code Review 2015/16</u>		David Wilkes Finance Manager (Treasury and Investments)
	4	<u>Performance Monitoring Report</u>	To consider and comment upon the performance monitoring report for the quarter and agree any future actions with regard to the performance issues raised.	John Alexander Policy and Performance Manager

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	5	<u>ISA 260 Report</u>	To consider the External Auditor's report to "Those charged with Governance".	John Oldroyd Senior Manager, Audit KPMG
	6	<u>Corporate Compliments and Complaints Annual Report 1 April 2015 to 31 March 2016</u>	To consider the Annual Report.	Julie Taylor Senior Assurance Manager (Complaints)
	7	<u>Internal Audit Quarterly Report</u>	To receive a report on SWAP's independent work and assess the Council's risk, governance and control framework.	Rupert Bamberger Assistant Director South West Audit Partnership (SWAP)
	8	<u>Constitutional Changes</u> (if required)	To consider any changes to the Constitution which have arisen that will need to be considered by the County Council.	Lee Gallagher Democratic Services Manager
Other draft items / issues identified for potential review				

Debbie Ward
Chief Executive
September 2016